

A Bibliography of Asian Pacific American Philanthropy

Asian Americans/Pacific Islanders in Philanthropy, September 2005

Introduction

Philanthropy in Asian Pacific America is a growing area of research that is extremely rich in its possibilities for expanding the horizons of the philanthropic world. The goal of compiling this annotated bibliography was to pull together useful resources for those interested in the topic of Asian Pacific American philanthropy, whether researchers, community organizations or funders.

It is a survey of existing literature in the field to date. Using the Coalition on Foundations' annotated bibliography from the report *Cultures of Caring: Philanthropy in Diverse Communities* (1999) as a starting point, we scanned academic journals, Foundation Center resources, books and other articles in order to compile sources. The primary focus is Asian Pacific American institutional philanthropy, especially sources that describe bridging informal philanthropy with institutional philanthropy. All research papers and reports that are in AAPIP's library are summarized. For the purposes of this bibliography we have chosen to use the term Asian Pacific American (APA) to include those individuals who trace their origins to the following countries: Bangladesh, Bhutan, Burma, Cambodia, China, Hong Kong, India, Indonesia, Japan, Laos, Macau, Malaysia, Maldives, Mongolia, Myanmar, Nepal, North Korea, Pakistan, the Philippines, Singapore, South Korea, Sri Lanka, Taiwan, Thailand, Vietnam and the island groups that form Melanesia, Micronesia and Polynesia.

This bibliography is organized by theme, in alphabetical order:

Research Papers and Reports in AAPIP's Library

1. Asian Pacific American Philanthropy
2. Philanthropy in Specific Asian Pacific American Communities
3. Philanthropy in Communities of Color
4. Asian Pacific American Diaspora Philanthropy
5. News Sources in AAPIP's Library

Research Papers and Reports Outside of AAPIP Library

1. Asian Pacific American Philanthropy- General
2. Chinese American Philanthropy
3. Filipino American Philanthropy
4. Korean American Philanthropy
5. Vietnamese American Philanthropy
6. General Philanthropy
7. Corporate Philanthropy
8. Religion and Philanthropy

Because they are broader trajectories within the field of Asian Pacific American philanthropy, several areas are highlighted in this bibliography, but not covered expansively. The review is not a comprehensive review of literature around informal philanthropy, which falls in the much broader area of Asian American history. Some more general sources are included around philanthropy in communities of color in general and APA philanthropy to Asia, but the overall



focus is on the Asian Pacific American community, and their philanthropy within the United States.

A list of useful links is also included at the end of the bibliography:

API Philanthropy Links

1. Asian Pacific American Philanthropy Links
2. Asian Pacific American Ethnic Foundations
3. General Philanthropy Links

All indicated sources are included in the AAPIP library, in San Francisco, CA. For more information, please contact us at (415) 273-2760 or aapip@aapip.org.

Academic Sources/Materials in AAPIP's Library

1. Asian Pacific American Philanthropy

The following are sources that deal with philanthropy in the Asian Pacific American community as a whole.

Asian Americans/Pacific Islanders in Philanthropy. *An Invisible Crisis: The Educational Needs of Asian Pacific American Youth.* New York, NY: Asian Americans/Pacific Islanders in Philanthropy, 1997.

Categories: APA general, Report, Institutional Philanthropy, Qualitative, Quantitative, Seminal

This report presents facts about the educational crisis among the growing numbers of Asian Pacific American students and provides recommendations to foundations to respond to these needs. The report illustrates how the "model minority" stereotype stands in the way of recognizing the problems that newer Asian Pacific immigrant students face, coming from backgrounds that are poor and poorly educated. With historical problems within the educational system, there are problem areas in language and literacy, curriculum and family and youth development that result. The report closes with recommendations for funding initiatives in (1) community/school/family partnerships, (2) institutional change and accountability, (3) curriculum development, (4) language development research and programs and (5) teacher recruitment and training.

Asian Americans/Pacific Islanders in Philanthropy. *Invisible and in Need: Philanthropic Giving to Asian American and Pacific Islanders.* New York, NY: Asian Americans/Pacific Islanders in Philanthropy, 1992.

Categories: APA General, Report, Institutional Philanthropy, Quantitative, Qualitative, Seminal

This influential report from 1992 presents information about critical gaps in funding to Asian Pacific American communities in the face of significant needs. Among the key findings, the report reveals that despite their growing numbers and ethnic diversity, APA communities received 0.2% of total foundation giving out of \$19 billion given over seven years, from 1983 to 1990. Debunking the "model minority" stereotype of APAs, four key issues are presented that significantly or disproportionately affect Asian Pacific American communities: racially-motivated crimes, health care needs, labor conditions and domestic violence. The report concludes with recommendations for foundation and corporate grantmaking to APA communities, making the case that a range of organizations have developed to respond to the critical issues facing APAs,

presenting a wide range of funding opportunities to reach communities with appropriate services and education.

Asian Americans/Pacific Islanders in Philanthropy and Leadership Education for Asian Pacifics. "Making the Invisible Visible: Strategies to Increase the Participation of Asian Pacific Americans in Philanthropy." Report to the James Irvine Foundation, June 1996 (unpublished).

Categories: APA General, Qualitative, Institutional Philanthropy, Report

Based on interviews with more than 70 individuals involved with the philanthropic sector, this report explores the factors that have prevented Asian Pacific Americans from fully participating in the activities and institutions of organized philanthropy. The report examines the factors that are pushing the philanthropic sector toward diversity and outlines the reasons for the limited presence of APAs as staff members, trustees or grantees of foundations. Challenges facing foundations as they implement diversity are explained and the report concludes with a set of recommendations to help bridge the information gap between philanthropy and APAs and encourage the recruitment, career mobility and professional development of APAs in philanthropy.

Asian Americans/Pacific Islanders in Philanthropy. *New Challenges for Bay Area Philanthropy: Asian and Pacific Islander Communities.* San Francisco, CA: Americans/Pacific Islanders in Philanthropy, October 2003.

Categories: APA General, Quantitative, Institutional Philanthropy, Report

This report examines data from the 2000 Census and other statistical studies to provide a demographic portrait of Asian Pacific Americans in the Bay Area. Commentaries by community representatives explain the complexity of their needs. Following brief national and California profiles is a detailed picture of APA communities in the San Francisco Bay Area region. The recommendations in this report challenge philanthropy to develop APA communities through increased grantmaking and community partnerships.

Asian American Federation (and Jessica Chao). *A New Heritage of Giving: Philanthropy in Asian America.* New York, NY: Asian American Federation of New York, 2001. 24 p. See also: <http://www.asianamericanphilanthropy.org>.

Categories: APA General, Organization Materials, Institutional Philanthropy

This 24-page brochure is part of the materials for "A New Heritage of Giving," an outreach initiative promoting philanthropy among Asian Pacific Americans. Descriptive black and white photographs of various APA community activities are woven together with short narrative anecdotes from prominent community members to make the case for investment within the Asian Pacific American community in order to develop community infrastructure. The brochure briefly defines philanthropy and its diverse manifestations within Asian America, noting that philanthropy begins with the sharing of time, talents and money to those who ask for help, as well as in the traditions of mutual aid. It emphasizes that as community needs grow, so does the scope of institutions that bridge these needs with outside resources, and APA investment in this infrastructure is vital to its sustainability. The document concludes with a list of ways that donors can invest, from cash donations to giving circles.

Chan, Sucheng. *Asian Americans: An Interpretive History.* Boston, MA: Twayne Publishers, 1991.

Categories: APA General, Informal Philanthropy, Qualitative, Book

Summary in Progress.

Chao, Jessica. "Asian American Philanthropy: Acculturation and Charitable Vehicles." *ARNOVA*, vol. 1(1): 57-79. July 11, 2001.

Categories: APA General, Institutional Philanthropy, Informal Philanthropy, Qualitative, Quantitative, Journal Article

Summary in progress.

Chao, Jessica. "Asian American Philanthropy: Expanding Circles of Participation." *Cultures of Caring: Philanthropy in Diverse American Communities*. Washington, DC: Council on Foundations, 1999: 191-253.

Categories: APA General, Chinese American, Japanese American, Filipino American, South Asian, Vietnamese American, Study or Report, Institutional Philanthropy, Informal Philanthropy, Qualitative, Quantitative, Seminal work

An influential piece in the field of Asian Pacific American Philanthropy, Chao's work provides extensive analysis of the wide variation in philanthropic practices in the APA community, both informal and institutional, from mutual aid associations to giving in churches and Saturday schools and giving to nonprofits. These practices are broken down by generation and country of origin, since different political and economic factors have shaped the demographic and cultural characteristics of each group. Groups highlighted include Chinese Americans, Japanese Americans, Filipino Americans, South Asians and Vietnamese Americans. Chao begins by highlighting the informal vehicles of philanthropy in which Asian Pacific Americans have participated for many years, but which are often not recognized as 'charitable.' Many of those who participate in these activities rarely cite them as philanthropic tradition, but instead as an "obligation" to their family and community, a term that does not have negative undertones, but instead is closely related to the natural "responsibility" one has to any close relationship. Chao also highlights common giving practices within the realm of institutional philanthropy. By underlining giving patterns of major donors of respective Asian American groups, Chao projects a series of concrete recommendations for nonprofits to stimulate philanthropic activity among Asian Pacific Americans.

***Cultivating the Giving Tree: Expanding Asian American Philanthropy in Chicago*. Chicago, IL: Leadership Center for Asian Pacific Americans, Community Leadership Program. June 2004.**

Categories: APA General, Institutional Philanthropy, Qualitative, Study or Report

This qualitative study, based on semi-structured interviews with eight APA donors and five development directors of APA organizations in the Chicago area, investigates the donating motivations and patterns of APAs in Chicago, as well as the needed infrastructure for small to mid-sized community based organizations to create a sustained and dependable donor base. The study is organized into two sections, the first detailing the successes and challenges presented by APA organizations in their own experience of development, and the second highlighting the philanthropic motivations and suggestions of APA donors. Case studies and statistical breakdowns of the interview participants are woven into the findings. Community-

based organization development directors highlight leadership, communication and resources as the three key areas of concentration for developing a sustainable independent donor base. Interviews with APA donors reveal several significant findings around what motivates donors and what methods of initial contact are most successful. Donors also contributed their own suggestions for how to improve individual philanthropic support. The study concludes with several key recommendations for community-based organizations. It suggests that APA community based organizations recognize and remove all levels of barriers to donating and have a clear understanding that the purpose of communications is to cultivate relationships.

Joint Affinity Groups (JAG). *The meaning and Impact of Board and Staff Diversity in the Philanthropic Field: Findings from a National Study.* San Francisco, CA: Joint Affinity Groups (JAG), 2002.

Summary in Progress.

Ko, Elaine and Danny Howe. *The Asian American charitable giving study: a survey of charitable giving in King County's Asian American community.* Seattle, Washington: United Way of King County. [199-]. 20 p.

On Order.

Kong, Gail, Francis H. "Pancho" Chang, and Stan Yogi. *Bay Area Asian Nonprofits: Perceptions and Realities.* San Francisco, CA: California Nonprofit Research Program, 1996.

Categories: APA General, Institutional Philanthropy, Quantitative, Study or Report

Based on quantitative data for the years 1988-1993 for 66 Asian Pacific American nonprofits, this study reports on the increased needs and demands of APA nonprofit leaders in the San Francisco Bay Area and analyzes funding during these years. The report finds that the Bay Area Asian Pacific American population is diverse and rapidly expanding, however, Bay Area Asian organizations received one-third less funding than nonprofits in the Bay Area in general. The study breaks down these figures by funding from the government, corporations and individuals, and provides recommendations for increased investment in the APA community.

Kong, Maria and Peggy Saika. "Disparities in Giving to APA Communities." In: Lai, Eric and Dennis Agruelles. *The New Face of Asian Pacific America: Numbers, Diversity and Change in the 21st Century.* San Francisco, CA: Asian Week, 2003. 217-218.

Categories: APA General, Institutional Philanthropy, Qualitative, Article

This short section in the *The New Face of Asian Pacific America* introduces challenges facing the APA community organizations in receiving foundation and charitable giving. It points out the "model minority" myth, disaggregated statistical data and the post-September 11 political climate as major challenges to philanthropic investments, and provides several key recommendations to foundation and corporate grantmakers.

Miller, Julia. "The 'unphilanthropic' Asian American: myth or reality". *Connections*, vol. 9 (Winter 1998): 6-10.

Categories: APA General, Institutional Philanthropy, Article

This short article introduces some general issues in Asian Pacific American philanthropy to a mainstream audience, in a myth v. reality format. Among the issues Miller raises is the long tradition of Asian Pacific American giving, giving within the APA ethnic community, Confucianism's influence on APA philanthropy, and the relation of race to motivations for giving. An inset text box provides some suggestions for organizations to reach out to the Asian Pacific American community.

Shao, Stella. "Asian American giving: issues and challenges (a practitioner's perspective)." *New Directions for Philanthropic Fundraising*, (Summer 1995): 53-64.

Categories: Literature Review, APA General, Institutional Philanthropy

In this short journal article, Shao gives an overview of the newly emerging research on the Asian Pacific American community in the field of Asian American Studies, and the impact of this research on the integration of Asian Americans into the field of philanthropy. Shao highlights the diversity of the APA population, relating that each wave of immigrants differed in their degree of assimilation into mainstream US culture depending on their respective histories and socioeconomic backgrounds. She asserts that APA giving takes root in East Asian religious teaching such as Buddhism, Confucianism and Taoism, melding philanthropy and daily life practices to the point where philanthropy is not considered a separate categorical concept from the responsibilities one has to the family and community. Increased assimilation of APAs then leads to their increased participation in mainstream US philanthropy. Shao highlights several seminal works in the field of Asian Pacific American philanthropy, extracts a few key findings to debunk traditional stereotypes, and lists issues around Asian Pacific American philanthropy that can be used as a framework for further research. (Her research does not include Pacific Islanders).

Takaki, Ronald. *Strangers from A Different Shore: A History of Asian Americans*. Little, Brown and Company, 1989.

Categories: APA General, Informal Philanthropy, Qualitative, Book

On Order.

Tonai, Rosalyn. *Asian American Charitable Giving: An Analysis of the Relationship Between Demographic, Attitudinal, and Situational Factors and Cash Contributions of Asian Americans to Nonprofit Organizations in the San Francisco Oakland Area*. Working Paper No. 4. San Francisco, CA: University of San Francisco, Institute for Nonprofit Organization Management, 1988.

Categories: APA General, Institutional Philanthropy, Study or Report

In this seminal piece, Tonai analyzes the responses of 321 APA donors in the San Francisco Bay Area to determine important demographic and motivational factors in APA charitable giving. The three areas of focus are: (1) the demographic profile of donors in the sample, (2) the significant relationships between key factors and charitable giving, and (3) effective solicitation techniques and reasons for giving and not giving. The pool of donors interviewed are well-educated (86.2% have a Bachelor's degree), and on average give 2.7% of their household income, which, compared to other studies, classifies them as substantial givers. Among her findings, Tonai reveals that demographic factors such as socioeconomic status and home ownership positively and significantly correlate with giving. Individuals at lower income levels, however, tend to give significantly greater proportions of their income than those at higher income levels. Also,

attitudes on volunteering and volunteering itself positively correlated with giving to APA nonprofits, as volunteers gave more frequently and substantially than non-volunteers. Tonai finds that in-person solicitations were the most effective, with “feeling a part of an organization” as the most prevalent self-reported reason for giving. The study presents a number of recommendations for managers of nonprofits, including a strong volunteer program and continuing community activities for members and supporters.

2. Philanthropy in Specific Asian Pacific American Communities

These sources are a collection of works that revolve around a specific ethnic group within the Asian Pacific American community.

Ahuja, Sarita, Pronita Gupta and Daranee Petsod. *Arab, Middle Eastern, Muslim and South Asian Communities in the Bay Area: An Introduction for Grantmakers.* San Francisco, CA: Asian Americans/Pacific Islanders in Philanthropy and Grantmakers Concerned with Immigrants and Refugees, November 2004.

Categories: Arab, Middle Eastern, Muslim, South Asian, Institutional Philanthropy, Qualitative, Report

This report is the outcome of a collaborated research process between Grantmakers Concerned with Immigrants and Refugees (GCIR) and Asian Americans/Pacific Islanders in Philanthropy (AAPIP), with findings and recommendations drawn from a community roundtable in the San Francisco Bay Area on March 12, 2004. The report identifies some of the issues, needs and capacity challenges facing Arab, Middle Eastern and South Asian communities and provides recommendations on funding priorities and strategies to foundations seeking to support and invest in these communities.

Asian Americans/Pacific Islanders in Philanthropy. *He Alo A He Alo: Face to Face with the Real Hawai'i.* New York, NY: Asian Americans/Pacific Islanders in Philanthropy, October 1996.

Categories: Native Hawaiian, Pacific Islander, Institutional Philanthropy, Qualitative, Report

This report presents transcripts from a pre-conference panel session at the 1996 AAPIP Annual Conference in Atlanta. The goal of this session was to begin a conversation among grantmakers about how coming to Hawai'i can inform and guide their philanthropic activities. The panel presentations present personal accounts of the History of Hawai'i and current struggles of Native Hawaiians.

Ho, Andrew. “Asian American Philanthropy: Expanding Knowledge, Increasing Possibilities.” Working Paper. Washington, D.C: Center for Public & Nonprofit Leadership. November 2004.

Categories: Chinese American, Institutional Philanthropy, Informal Philanthropy, Working Paper

In this short paper, Ho presents an introduction to Chinese American philanthropy, reviewing the present literature in the field and providing a framework for future research. He begins with the historical context for giving in China, illustrating the rich tradition of cooperation and giving that takes its roots in Confucianism. Within Chinese giving, Ho points out that there is an important emphasis on having a personal connection, or “guanxi” in nearly all philanthropic transactions. This explains the tendency toward giving back in the form of remittances to the homeland, and

rotating credit organizations. Ho concludes by posing some questions about the future of Chinese philanthropy within the context of assimilation into mainstream US society, and offering some suggestions for future research. He finds that raising awareness around Chinese American giving among members within the Chinese American community is a key step toward expanding the possibilities for philanthropy.

Ito, Scott. "Viewpoint : a Yonsei's perspective on giving in the Japanese American community." *Connections*, vol. 9 (Winter 1998): 15-8.

Categories: Japanese American, Institutional Philanthropy, Qualitative, Article

Ito highlights particular challenges in actively engaging the Japanese American community in philanthropy, such as the history of the World War II internment camps, which left much of the Japanese American community with feelings of distrust and betrayal. He recounts the strategy that he employed working to head the Japanese American National Museum's capital campaign, which involved on-site phone banking with the help of volunteers.

Lee, Robert. *Guide to Chinese American Philanthropy and Charitable Giving Patterns*. San Rafael, CA: Pathway Press, 1990.

Summary in Progress.

Tokumura, S. Sanae. "Fundraising mores in diverse communities: the role of ethnicity and culture." *New Directions for Philanthropic Fundraising*, vol. 34 (Winter 2001): 3-30.

Categories: Japanese Americans, Pacific Islanders, Native Hawaiians, Koreans, Filipinos, Journal Article, Institutional Philanthropy, Qualitative

Writing from her experience fundraising in Hawai'i, Tokumura moves fluidly through her own personal experience growing up in Hawai'i's natural 'diversity school' to make the case for fundraising strategies that take into account an acute and intuitive awareness of ethnicity and culture. She asserts that the basic rule to fundraising is thorough research conducted prior to contact, which is essential to building a productive relationship with any major prospective donor. Included in this research should exist what she coins as 'cultural collateral,' or the individual's ethnicity, age, gender, educational level and social position, which, though not documented in any fixed way, is just as crucial as the collateral one presents at meetings about his or her causes and organizations. Tokumura recounts the respective histories of the major ethnic groups in Hawai'i, weaving her own identity formation into the Island politics around Japanese Americans, Native Hawaiians and Part-Hawaiians, Filipinos, Chinese, and Koreans. Highlighting some key differences in 'cultural collateral' among the various Hawai'i islands, Tokumura alludes to approaches in these various contexts in order to negotiate the difficult 'science of trust.' She suggests some background reading from the private sector and offers several case-in-point examples and words of advice from diverse philanthropists on the Hawaiian Islands.

3. Philanthropy in Communities of Color

The works that follow speak to "Ethnic Philanthropy" in general, or philanthropic practices in communities of color as a whole.

***Cultures of Caring: Philanthropy in Diverse Communities*. Washington, D.C.: Council on Foundations, 1999.**

Categories: Communities of Color, Qualitative, Quantitative, Institutional Philanthropy, Informal Philanthropy, Report or Study

Summary in progress.

Durán, Lisa and the Grassroots Institute for Fundraising Training (GIFT). “Caring for Each Other: Philanthropy in Communities of Color.” *Grassroots Fundraising Journal*, September/October 2001.

Categories: Communities of Color, Qualitative, Article, Institutional giving, informal giving

In this brief (3p) article, Durán gives a quick overview of the rich philanthropic traditions in each of the major ethnic groups (African Americans, Latinos, Asian Pacific Americans and Native Americans). In Asian American communities, she draws parallels between Latino and Asian American vehicles of giving, such as mutual aid societies and Latino “mutualistas,” postulating that these common patterns of giving are most likely due to a common immigrant background. All of the groups show the trend of defining philanthropy outside the standard bounds of “institutional philanthropy,” which she sees as shaped by both traditions of immigrant homelands and the experiences of minorities as they have had to struggle together to survive.

Gow Pettey, Janice. “Can remittances increase charitable giving among immigrant families?” *New Directions for Philanthropic Fundraising*, vol. 37, Fall 2002: 35 – 44.

Categories: Communities of Color, APA General, Journal Article, Institutional Philanthropy, Informal Philanthropy, Qualitative

Gow draws a connection between the informal practices of giving among immigrant families, particularly in the Filipino, Hmong and Salvadoran communities and the emerging move to integrate youth into institutional philanthropy to raise questions about the role of immigrant youth in influencing charitable giving in their families. She uses a study by Menjivar, Da Vanzo, Greenwell and Valdez (1998), which analyzes the remittances of the Filipino and Salvadoran communities, as a starting point to discuss the relationship of immigrant families to giving. Drawing from studies around the Hmong community in the US as an example, she asserts that familial ties in these communities are often so strong “that external community support is sometimes seen as nonessential.” Gow moves to discussions of programs that attempt to integrate youth into philanthropy, highlighting the fact that at 59 percent, white youth are the largest participating group in these programs, with African American youth at 17 percent, Asian American youth at 10 percent and Latino youth at four percent. She suggests that research could use an interactive methodology to incorporate immigrant youth into the practices of charitable giving, and thus design a new model of philanthropy that could blend various cultural forms of giving and sharing.

Gow Pettey, Janice. *Cultivating Diversity in Fundraising*. New York, NY: Wiley, 2001.

Categories: APA General, Chinese Americans, Filipino Americans, Japanese Americans, Korean Americans, South Asian Americans, Communities of Color, Qualitative, Institutional Philanthropy, Informal Philanthropy, Book

This book merges the elements of history, tradition and motivation with the components of successful fundraising within and among four diverse communities: African American, Asian Pacific American, Hispanic/Latino and Native American. It assembles pieces of available data on demographics, history and traditions, and cultural patterns to present a roadmap for necessary

and appropriate fundraising strategies. Through its chapters it attempts to answer the following questions: (1) Who are diverse donors? (2) What are their charitable traditions and interests? (3) What fundraising methods will be successful in diverse communities? and (4) What can we do to include more diversity in fundraising efforts? There is one chapter focused on Asian Pacific Americans, in which Gow Pettey describes traditional giving and sharing among APAs, breaking this down by prominent APA groups: Chinese Americans, Filipino Americans, Japanese Americans, Korean Americans, and South Asian Americans. The second half of the book is dedicated to providing materials that can be used to plan a strategic fundraising plan in communities of color, including the top areas funded within each community of color and giving areas of interest by race/ethnicity. Finally, the book concludes with case studies from fundraising campaigns in each community of color, including a capital campaign for a Catholic Chinese school and fundraising for India's 5-H campaign.

Mottino, Felinda and Eugene D. Miller. *Pathways for Change: Philanthropy Among African American, Asian American and Latino Donors in the New York Metropolitan Region.* New York: Center on Philanthropy and Civil Society at The City University of New York, Coalition for New Philanthropy, September 2004.

Categories: APA General, Communities of Color, Qualitative, Quantitative, Study or Report

Making the case that the study of giving in communities of color is important because of their growing size, assets, and need for civic participation and social change, Mottino and Miller analyze interviews with 166 donors from African American, Latino and Asian American communities in order to examine the motivations and trends in philanthropy among donors of color. They mix quantitative and qualitative analysis to determine the greatest areas of giving among donors of color, reasons for giving, overall philanthropic goals, and donors' expectations from recipient organizations. Narrative quotes bridge together concrete recommendations for nonprofit organizations to cultivate new donors and increase giving among existing donors. Among the relevant highlights of the study is the finding that there are generational differences in giving trends, between older generations and younger generations—those born before and after the enactment of Civil Rights legislation in immigration reform in the mid-1960s. Also, the study reveals that philanthropy starts young for most donors, as they develop their interest in serving through volunteer experiences, which later transform into fundraising contributions and increased volunteering. Mottino and Miller conclude with a number of recommendations for nonprofit organizations to increase and better maintain their donor pool, calling for professionalism, transparency, accountability and the cultivation of strong relationships with donors.

Newman, Diana, Council on Foundations. *Opening Doors: Pathways to Diverse Donors.* Jossey-Bass, 2002.

Categories: Communities of Color, Institutional Philanthropy, Qualitative, Book

Summary in Progress.

Okonkwo Osli, Una and Dan Du. "Immigrant assimilation and charitable giving." *New Directions for Philanthropic Fundraising*, vol. 48, Summer 2005: 89-104.

Categories: Communities of Color, Immigrants, Quantitative, Journal Article, Institutional Philanthropy, Informal Philanthropy

This quantitative study uses statistical research to measure the relationship between immigrants' experiences within the United States and charitable giving within US philanthropic institutions.

Using the study *Donors of Color: A New Promising Frontier for Community Foundations* by the Council on Foundations and Association of Black Foundation Executives (1993) and Jessica Chao's *Asian American Philanthropy: Expanding Circles of Participation* (1999), Okonkwo and Du begin with the premise that varying cultural backgrounds influence giving patterns, which do not necessarily look the same as mainstream US institutional philanthropy. Through their research they reveal that immigrants are 11% more likely to give private transfers of wealth through extended family and social networks. Additionally, the results of the study find that immigrant status does not have a statistically significant impact on the probability and levels of giving. Though immigrant households appear to have lower average rates of participation and levels of charitable giving, these differences are not statistically significant after permanent income and other household variables have been controlled. They find that only very recent immigrants have a lower likelihood of charitable giving, and that they quickly adapt to US philanthropic institutions.

Pittz, Will and Rinku Sen. *Short Changed: Foundation Giving and Communities of Color*. Applied Research Center, Spring 2004.

Categories: Communities of Color, Quantitative, Qualitative, Institutional Philanthropy, Study or Report

This report analyzes a mix of existing literature and data from the Foundation Center to assess the trends of foundation giving in communities of color. The picture is not very promising. Although people of color make up nearly a third of the general US population, grants explicitly targeted to benefit people of color constituted only 7% of foundation funding in 2001. The report begins with an analysis of available data on giving to people of color and civil rights, social action organizations. It includes an assessment of the impact of these giving trends on particular organizations that conduct racial justice work, especially after September 11th. It reveals that though the total foundation assets increased from \$100 billion to \$600 billion from 1985 to 2002, grants to communities of color have not increased proportionally to this upsurge. Within APA communities, organizations received between .3% and .5% of total grant dollars between 1994 and 2001. The second part of the report examines how varied definitions of racial justice have produced different funding emphases, discusses foundation initiative with an explicit racial justice focus and analyzes the impact of foundation staff diversity on racial justice funding. The concluding section summarizes the report's key findings and offers recommendations to funders and donors. Among the key findings, Pittz and Sen reveal that contrary to expectation, greater diversity among foundation staff has not increased giving to communities of color or racial justice efforts. They find that racial justice work can be effective at achieving broad based policy reforms, but that there is no consensus among funders about the definition of racial justice work, often lumping such work into other categories. They recommend for funders and donors to make racial justice an explicit funding category, set racial justice criteria for selecting grantees and invest in and prioritize capacity building. They push for funding priority in work around systemic change, versus work that targets prejudice on an individual level and urge for more research in order to identify model racial justice initiatives.

Smith, Bradford, Sylvia Shue, Jennifer Lisa Vest and Joseph Villarreal. *Philanthropy in Communities of Color*. Bloomington, IN: Indiana University Press, 1999.

Categories: Filipinos, Chinese, Japanese, Korean, Communities of Color, Qualitative, Informal Philanthropy, Institutional Philanthropy, Book, Seminal Work

Drawing a closer focus on the rising attention to altruistic behavior of laborers, farmers, immigrants, women, people of color and the poor, Smith et al. conduct an ethnographic study of 260 individuals from eight communities of color in the SF Bay Area in order to determine the

relationship of ethnicity to charitable behavior. The book is organized by the eight ethnic groups interviewed for the study: African Americans, Mexicans, Guatemalans, Salvadorans, Filipinos, Chinese, Japanese and Koreans. Chapter by chapter, Smith et al. describe the historical and cultural relationship of each respective ethnic group to giving, making sure to include the nuances of relationships that can only be described in one's native language. For example, when Filipinos return to their hometown or country, they are generally expected to bring "pasalubong," or monetary and material gifts for family and friends living in the Philippines. Among key findings highlighted in the study, Smith et al. observe that ethnic philanthropy is inextricably linked with family and kinship, and that the church plays an important role in this. They find that though knowledge of an individual's ethnicity does not help predict the relative quantity of giving, it does help to predict the forms and beneficiaries of his or her philanthropy.

4. Asian Pacific American Diaspora Philanthropy

These sources deal with the practices of APA philanthropy to Asia in the form of remittances, mainstream institutions, or other vehicles of diaspora giving.

"Diaspora Philanthropy: Perspectives on India and China." Workshop notes from the Global Equity Initiative, Harvard University, May 2003.

Summary in Progress.

Kapur, Devesh. "Indian Diasporic Philanthropy: Some Observations." Working Paper. Boston, MA: Global Equity Initiative, Harvard University, April 2003.

Summary in Progress.

Sidel, Mark. "Diaspora Philanthropy to India: A Perspective from the United States." Working Paper. Boston, MA: Global Equity Initiative, Harvard University, May 2003.

Categories: South Asian, Informal Philanthropy, Institutional Philanthropy, Remittances, Study or Report, Qualitative

This qualitative study gives an overview of key vehicles and issues in Indian diaspora philanthropy from a U.S. perspective, by reviewing a range of U.S. and other sources. In the first part of the study, Sidel begins with a brief history of the Indian diaspora in the United States. He describes the spectrum of giving methods to India, asserting that the two most important channels of giving are giving through personal and family, non-organizational ties directly in India and giving through Indian-American umbrella occupational, ethnic, professional, religious or other groups. Other areas are beginning to see substantial growth, however, such as the use of Indian-American philanthropic groups and the use of donor-advised or "venture" philanthropic entities. The second part of the study highlights motivations and investment areas in South Asian diaspora philanthropy, as well as key issues arising in the field. In the third part of the study, Sidel expands upon these key issues, relating India diaspora philanthropy to other forms of organized philanthropy within the United States, including an analysis of the effects of post-September 11th policy. Though there has not been any concrete documentation or data on the negative impacts of post-September 11th policy, Sidel asserts that there is a sense that increased scrutiny of overseas giving to Muslim groups has created a drop in donations to Indian Muslim organizations and charities. These groups are turning to personal and family giving as U.S. investigations have increased. Sidel concludes with his general findings and presents suggestions for new areas of research.

Yin, Xiao-Huang and Zhiyong Lan. "Why Do They Give? Change and Continuity in Chinese American Transnational Philanthropy since the 1970s." Working Paper. Boston, MA: Harvard University, May 2003.

Categories: Chinese American, Institutional Philanthropy, Remittances, Qualitative, Study or Report, 1970s

This study evaluates the key characteristics of Chinese American giving to China, and its changes since 1979. Beginning with descriptions of Chinese American giving to "Qiaoxian," or hometowns of overseas Chinese prior to 1979, Yin and Lan assess new policies and arrangements adopted by the Chinese government to elicit giving and the actual and potential impact of this philanthropy on China. They reveal changes within Chinese America that increase giving to China and present key forms of transnational giving: (1) traditional philanthropy, (2) mainstream institutions, (3) Chinese American transnational NGOs, (4) organizations established by recent Chinese immigrants and (5) Chinese American transnational academic societies. Yin and Lan observe that dramatic changes have occurred in diaspora giving since the 1970s, largely due to strong networking capacities of Chinese American communities. This has expanded the scope of giving from informal or personal giving to broader and more professional networks. It has also extended giving from funding projects in "Qiaoxian" regions to philanthropy in a wide range of programs throughout China. Yin and Lan see Chinese American transnational giving as playing an important role in the development of China as a strong actor in the international community.

Young, Nick and June Shih. *The Chinese Diaspora and Philanthropy*. Harvard University, May 2003.

Categories: Chinese, Qualitative, Paper, Remittances

This paper provides historical background and present trends of philanthropy in the Chinese diaspora to China. Beginning with comprehensive definitions of "philanthropy," "China," "diaspora," and "globalization," the first half of the paper runs through the evolution of the international Chinese diaspora from the 1400s, through the period of the Chinese Exclusion Act, up to the present day. Breaking out the various phases of Chinese emigration by its diverse destination countries and socioeconomic constituents, Young and Shih make the case that the diaspora must be seen as complex and multifaceted. Shih and Young discuss the changing attitude of the Chinese government towards its expatriates, as the government has increasingly begun to capitalize on the investment capital of the Chinese diaspora. The second half of the paper deals with the patterns of Chinese diaspora giving to China, highlighting philanthropy in nonprofit organizations, Chinese universities, organizations in Taiwan and Hong Kong and Chinese American Philanthropic organizations with a China focus. Shih and Young find that the official nonprofit sector is much less a beneficiary of overseas Chinese philanthropy than they had hypothesized, whereas Chinese universities see private philanthropy as a critical resource for expansion and development.

News Sources in AAPIP's Library

The sources that follow are a sample of news sources found on APA philanthropy. In addition to these sources, AAPIP also has a binder of archived news sources on Asian Pacific American philanthropy to date.

Bailey, Anne Lowrey; Institute for Nonprofit Organization Management. "Asian, Hispanic giving exceeds estimates, study finds". *Chronicle of Philanthropy* vol. 4(21): 8. April 1992.



"Colgate's Most Generous 'Investor.'" *The Colgate Scene*, March 2004. Available at <http://www4.colgate.edu/scene/mar2004/ho.html>.

Gordon, A.L. "Out & About." *The New York Sun*, October 19, 2004.

Gordon, A.L. "Wake-Up Call for Minority Philanthropy." *The New York Sun*, September 27, 2004.

"Grants to aid Asian Americans are few in number, study finds." *Chronicle of Philanthropy*, vol. 5 (March 23, 1993): 20.

Greene, Stephen G. "Entrepreneur Finds a Home for Collection of Rare Chinese Artifacts." *Chronicle of Philanthropy*, vol. 16 (February 19, 2004): 21.

Im, Kathy. "Sweet Charity." *Audrey Magazine*, vol. 3(2) (April/May 2005): 60-61.

Kosareff, Jason. "Asian Americans led relief efforts." Pasadena, CA: *Pasadena Star*, March 3, 2005.

Wides, Laura. "Asian-Americans in California give heartily to tsunami victims." Los Angeles, CA: *The Associated Press State and Local Wire*, March 2, 2005.

Works Outside of AAPIP Library

1. Asian Pacific American Philanthropy- General

Asian Pacific Americans and the Nonprofit Sector. San Francisco, CA: University of San Francisco, Institute for Nonprofit Organization Management, June 1997.

Chen, David T.A. *Marketing to the Affluent Asian Americans*. Paper presented at the Researchers Roundtable Seminar. Washington, DC: Council on Foundations, 1989.

Hsiao, Julia. "Asian Americans: Diversity in Giving." Proceedings of the conference of Council for the Advancement and Support of Education (CASE), *Fund Raising Strategies for Women, Minorities and Special Constituents*. Chicago, IL: Council for the Advancement and Support of Education, May 15, 1997.

Kimura, N. *A Study of Charitable Giving and Foundation Support to Asian Pacific Human Service Organizations in Los Angeles*. Paper prepared for AAPCON, 1990.

Lott, Juanita Tamayo. *Demographics of the Asian Pacific American Community and Their Implications for Nonprofit Organizations*. Paper presented at the Conference on Asian Pacific Americans and the Nonprofit Sector. San Francisco, CA: University of San Francisco, Institute for Nonprofit Organization Management, June 1997.

Mangiafico, Luciano. *Contemporary American Immigrants: Patterns of Filipino, Korean and Chinese Settlements in the U.S.* New York, NY: Praeger Press, 1988.

Melendy, H. Brett. *Asians in America: Filipino, Korean and East Indians*. Boston, MA: Twayne Publishers, 1977.

Stehle, Vince. "A Model for Asian Donors?" *Chronicle of Philanthropy*. Washington, DC: Council on Foundations, 1996.

2. Chinese American Philanthropy

Kwong, Peter. *The New Chinatown*. New York, NY: Hill and Wang, 1987.

Lai, Him Mark. "Chinese Organizations in America Based on Locality of Origin and/or Dialect-Group Affiliation, 1940-1990's." In *Chinese America: History and Perspectives 1996*, 19-92. San Francisco, CA: Chinese Historical Society of America, 1996.

Lai, Him Mark. "Historical Development of the Chinese Benevolent Consolidated Association/Huiguan System." In *Chinese America: History and Perspectives 1987*, 9-92. San Francisco, CA: Chinese Historical Society of America, 1996.

Lee, Robert. "Confucian social ethics and Chinese-American philanthropy." *Giving USA Update* (September-October 1989): 4-5.

Lee, Robert. *Misconceptions About Giving By Chinese Americans: Newly Gained Affluence Confucian Social Ethics and the Spirit of Philanthropy*. Paper presented at the Researchers Roundtable Seminar. Washington, DC: Council on Foundations, 1989.

Lee, Robert. "The Confucian spirit." *Foundation News* vol. 31 (May-June 1990) p. 30-3.

Tsu, Yu Yue. *The Spirit of Chinese Philanthropy: A Study in Mutual Aid*. New York, NY: Columbia University Press, 1912. 122p.

Yu, Renqui. "Chinese American Contributions to the Educational Development of Taoism 1910-1940." *Amerasia*, vol. 10, no. 1 (1983): 47-72.

3. Filipino American Philanthropy

Aquino, Valentin R. *The Filipino Community in Los Angeles*. San Francisco, CA: R&E Research Associates, 1974.

Bowen, James G. *Attitudes Toward Fund-Raising and Volunteerism within the Filipino Community of Moreau High School as a Microcosm of Southern Alameda County*. Master's thesis. San Francisco, CA: University of San Francisco, 1990 (unpublished).

Greenwell, Lisa, R. Burciaga Valdez and Julie DaVanzo. "Social Ties, Wages and Gender in a Study of Salvadorean and Pilipino Immigrants in Los Angeles." *Social Science Quarterly*, vol. 78(2), June 1997.

Teodor, Luis V., ed. *Out of this Struggle: The Filipinos in Hawai'i*. Honolulu: University Press of Hawai'i, 1981.

Yu, Elena S.H. "Filipino Migration and Community Organizations in the United States." *California Sociologist*, vol. 3(2) (Summer 1980): 76-102.

4. Korean American Philanthropy

Min, Pyong Gap. *Caught in the Middle: Korean Communities in New York and Los Angeles*. Berkeley, CA: University of California Press, 1996.

5. Vietnamese American Philanthropy

Kibria, Nazli. *Family Tightrope: The Changing Lives of Vietnamese Americans*. Princeton, NJ: Princeton University Press, 1993.

6. General Philanthropy

AARP. *Multicultural Study 2003: Time and Money: An In-Depth Look at 45+ Volunteers and Donors*. Washington, D.C.: AARP, 2003.

Durán, Lisa. *The Politics of Funding and Social Change Funding: A Popular Review of the Literature*. Denver, CO: Grassroots Institute for Fundraising Training, 2002.

Shaw-Hardy, Sondra. *Creating a Women's Giving Circle*. Indianapolis, IN: Women's Philanthropy Institute, 2000.

7. Corporate Philanthropy

Lombardo, Barbara J. "Japanese Corporate Philanthropy in the United States." *Journal of Nonprofit Management and Leadership*, vol. 2(1) (Fall 1991): 13-24.

London, Nancy R. *Japanese Corporate Philanthropy*. New York, NY: Oxford University Press, 1991.

Survey of Corporate Philanthropy at Japanese-Affiliated Operations in the United States. New York, NY: Japan External Trade Organization (JETRO), 1995.

Holstein, William J. "We're Naive About Japanese Philanthropy." *The Chronicle of Philanthropy*, (Jan. 14, 1992): 38-39.

Williams, Roger. "A Yen for Philanthropy." *Foundation News* (Nov.-Dec. 1990): 20-21.

8. Religion and Philanthropy

Kashima, Tetsuden. *Buddhism in America: The Social Organization of an Ethnic Religious Institution*. Westport, CT: Greenwood Press, 1977.

Williams, Raymond Brady. *Religions of Immigrants from India and Pakistan: New Threads in the American Tapestry*. New York, NY: Cambridge University Press, 1988.

Yu, Renqui. "Chinese American Contributions to the Educational Development of Taoism, 1910-1940." *Amerasia*, vol. 10(1) (1983): 47-72.

Asian Pacific American Philanthropy Links

1. Asian Pacific American Philanthropy Links

A New Heritage of Giving: Philanthropy in Asian America

<http://asianamericanphilanthropy.org/>

Resources and information about Asian American giving from the Asian American Federation of New York

Asian American Philanthropy Bibliography (PDF)

www.cof.org/culturescaring/bibasam.pdf

From the Council on Foundations' *Cultures of Caring* report.

Asian American Philanthropy: Expanding Circles of Participation (PDF)

www.cof.org/culturescaring/AsianAmerican.pdf

Jessica Chao's report available on the web, from the Council on Foundations' *Cultures of Caring* report.

Harvard University Global Equity Initiative: Publications on Philanthropy

http://www.fas.harvard.edu/~acgei/philanthropy_pubs.htm

Asian-American Philanthropy: An Informational Paper

<http://www.learningtogive.org/papers/index.asp?bpid=5>

A short overview and list of links by Dennis Dull, a graduate student at the Center on Philanthropy at Indiana University

Philanthropy in Minority Communities: A Resource List

<http://fdncenter.org/learn/topical/minorities.html#3>

The Foundation Center's brief bibliography on philanthropy in communities of color, including a brief list of Asian Pacific American sources.

2. Asian Pacific American Ethnic Foundations

This is not a comprehensive source of APA foundations. If you have other foundations you know of and would like to add, please contact aapip@aapip.org.

America India Foundation

<http://www.aifoundation.org/>

Asian American Federation, New York

<http://www.aafny.org/>

Asian Pacific Community Fund

<http://www.apcf.org/>



Asian Pacific Fund

<http://www.asianpacificfund.org/>

Asian Pacific Endowment

<http://spectrumtrust.org/st/asianpacific/>

Chinese Heritage Foundation

<http://www.chineseheritagefoundation.org/>

Philippine American Foundation for Charities, Inc.

<http://www.paafc-inc.org/>

Tzu Chi Foundation

<http://www.tzuchi.org/>

3. General Philanthropy Links

Association of Research on Nonprofit Organizations and Voluntary Action (ARNOVA)

<http://www.arnova.org/>

International membership organization dedicated to fostering through research an understanding of the nonprofit sector, philanthropy and volunteerism

Foundation Center Library

<http://lnps.fdncenter.org/>

An online library with resources from the nonprofit center, held at one of three locations: San Francisco, New York or Washington, D.C.

Chronicle of Philanthropy

<http://philanthropy.com/>

A newspaper dedicated to the field of philanthropy, often covering breaking studies.

Giving USA Annual Report

<http://www.aafrc.com/gusa/index.cfm>

Statistical information on annual charitable giving—the report can be ordered online. Under “Philanthropic Resources” a list of links to organizations that deal with philanthropy is provided.

New York Regional Association of Grantmakers – NYRAG

http://www.nyrag.org/info-url2323/info-url_list.htm?category=Diverse%20%26%20Inclusive%20Philanthropy&doc_id=35089

This page includes links to studies on philanthropy in communities of color.

Foundation News and Commentary

<http://www.foundationnews.org>

A publication by the Council on Foundations.

Nonprofit Sector Research Fund (Aspen Institute)



<http://www.nonprofitresearch.org>

Various research projects to expand knowledge of the nonprofit sector and philanthropy.

Center for Nonprofits and Philanthropy (CNP)

<http://www.urban.org/content/PolicyCenters/NonprofitsandPhilanthropy/Overview.htm>

Publications available online on philanthropy and the nonprofit sector.

The Urban Institute: Center on Philanthropy, Indiana University

<http://cnp.urban.org/>

Research focused primarily on philanthropy in Indiana's nonprofit sector.

Joseph and Matthew Payton Philanthropic Studies Library, Indiana University

<http://www.ulib.iupui.edu/special/ppsl.html>

An online PDF library of literature relating to philanthropy published at Indiana University.

Boston College Center for Wealth and Philanthropy

<http://www.bc.edu/research/swri/>

An online library of the center's publications in the area of philanthropy.